

Kevin Mahoney

intellicents investment solutions inc.

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This Brochure Supplement provides information about Kevin Mahoney that supplements the intellicents investment solutions inc. Brochure, a copy of which you should have received. Please contact our Director of Operations at 507-377-2919 if you did not receive intellicents investment solutions inc.'s Brochure or if you have any questions about the contents of this supplement. Additional information about Kevin Mahoney is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Educational Background and Business Experience

Kevin Mahoney born in 1974 and received the following formal education after high school:

- 1994 San Joaquin Delta JC, Stockton, CA – AA: Business
- 1996 California State University Stanislaus Turlock, CA, - Bachelor of Business Management

Business experience includes:

- August 2023 – Present: Financial Consultant at intellicents investment solutions inc., Austin, Texas
- November 2020 – March 2023: FinDec Benefit Services, Inc. Business Development Officer, Stockton, CA
- October 2020 – March 2023: FinDec Wealth Services, Inc. Investment Adviser Representative, Stockton, CA
- October 2020 – March 2023: FinDec Wealth Services, Inc. Business Development Officer, Stockton, CA
- April 2000– March 2023: Western Associates, Inc. DBA Western Associates Insurance Services, Business Development Officer, Stockton, CA
- April 2000– November 2015: Western Associates, Inc. DBA Western Associates Insurance Services, Agent, Stockton, CA
- March 1998 – October 2020: Financial Decisions, Inc. Investment Adviser Representative
- August 1993-December 2020: Fred M. Lee Financial Corporation DBA Financial Decisions, Business Development Officer, Stockton, CA
- August 1993-December 2020: Fred M. Lee Financial Corporation DBA Financial Decisions, Pension Administrator, Stockon, CA.
- December 2005-August 2014: Financial Telesis, Inc. Registered Representative. San Rafael, CA
- February 2000-December 2005: National Planning Corporation, Registered Representative, San Rafael, CA

Professional examinations, certifications and/or designations include:

- FINRA Securities examinations: Series 7; Series 63
- Accredited Investment Fiduciary (AIF®)
- Certified 401(K) Professional C (k)P ®
- Enrolled Retirement Plan Agent ®
- Qualified 401(K) Administrator QKA
- Qualified Plan Financial Consultant (QPFC)
- Qualified Pension Administrator (QPA)
- Tax-Exempt and Governmental Plan Consultant (TGPC)®

AIF® is a professional designation which includes one of the following combinations: (1) minimum of two year of relevant experience with a bachelor's degree (or higher) and a professional credential; (2) minimum of five years of relevant experience with a bachelor's degree (or higher) or a professional credential; (3)

minimum of eight years of relevant experience. The remaining requirements are to enroll in and complete a training course, pass an examination and satisfy the Code of Ethics and Conduct Standards. The designees must accrue a minimum of six hours of continuing education annually.

C (k)P® Designation is administered by The Retirement Advisor University in collaboration with the UCLA Anderson School of Management Executive Education. The C (k)P® Designation identifies individuals who have been authorized to use the C (k)P® Designation certification marks in the USA by identifying financial professionals who have the knowledge and experience to favorably affect the outcome of corporate retirement field, completed a rigorous and unique education course work experience and agreed to adhere to a code of conduct and ethics. The prerequisite to use the C (k)P® designation on marketing materials consist of personally having: 10 plans under management/advisory, \$30 million of assets under management/advisory, 3 years of experience in the defined contribution industry.

ERPA, Enrolled Retirement Plan Agent, designation was awarded by the IRS practitioners who had demonstrated a comprehensive knowledge of the laws and regulations of the Internal Revenue Code Sections related to the retirement plans. The ERPA designation was earned by the completion of written examinations administered by the IRS or as the result of employment with the IRS in which one obtained technical experience relating to retirement plan matters. Although the IRS no offers this designation to new applicants, it does recognize those who earned this designation when the test were available. ERPA may represent his/her client before the IRS with respect to the following: Audits, Form 5500 matters and issues, tax levies, Form 5300, 5307 and 5310 determination letter request, and voluntary compliance filings.

QKA is The Qualified 401(k) Administrator credential offered for retirement plan professionals who work primarily with 401(k) plans. Applicants for the QKA credentials are from various professional disciplines. They typically assist employers and consultants with the recordkeeping, non-discrimination testing and the administrative aspects of 401(k) and related defined contribution plans. A minimum of two years' experience in retirement plan related along with completion of examination series. Members must acquire 40 hours of continuing education in a two-year cycle including two hours of Ethics and two letters of recommendation. Individuals are required to renew ASPPA Membership annually to retain credentials.

QPFC, The Qualified Plan Financial Consultant (QPFC) designation is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. QPFC is not an entry level credential. A candidate must demonstrate a general proficiency of plan administration, compliance, investment, fiduciary and ethics issues. In addition to passing ASPPA's QPFC examination series, a candidate must provide two letters of reference demonstrating at three years of retirement plan related experience, or two letters of reference demonstrating at least two years of retirement planning experience and hold either: A series 6,7 or 65 license issued by the FINRA; A State-Life or annuity insurance license; or an Investment Advisor Representative or Registered Investment Advisor.

QPA, The Qualified Pension Administrator credential was created by ASPPA to recognize professionals who are qualified to perform the technical and administrative functions of qualified plan administration. QPA's assist employers, actuaries, and consultants in performing functions such as determination of

eligibility benefits, computation of benefits, plan recordkeeping, trust accounting and disclosure, and compliance requirements. A minimum of two years' experience retirement plan related matters is required along with completion of ASPPA's QPA examination series to be a candidate for this credential.

TGPC® Tax-Exempt and Governmental Plan Consultant is a professional designation which includes one of the following: Three years of retirement plan related experience: or Two years of retirement plan related experience and: Series 6, 7 or 65 license. State-life or annuity insurance license required and Investment Advisor Representative or Registered Investment Advisor Credential. The remaining requirements are 40 hours of continuing education every two years, including two hours of ethics.

Item 3- Disciplinary Information

intellicents investment solutions inc. ("iis") is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. iis has no information to disclose in relations to this item.

Item 4- Other Business Activities

intellicents investment solutions inc. is part of a consortium of companies that focus on providing employee benefit services to employers. The services include investment advisory services provided through iis, and through its affiliated companies: life, disability and health insurance services, and benefits consulting services that range from defined contribution retirement Plans to employee wellness programs.

For services provided by the affiliated intellicents investment solutions companies, the companies and Kevin Mahoney may receive consulting fees and/or commissions on sales of any investment and insurance product sold to clients. iis may also provide investment advisory services to the clients of the affiliated intellicents investment solutions companies; however, iis never receives commissions on any assets under management for which iis receives advisory fees.

Item 5- Additional Compensation

Because of the nature and extent of the business intellicents investment solutions (iis and its affiliates) provides various custodians and mutual fund companies "revenue sharing" in the form of shareholder servicing fees, sub-transfer agency fees, 12b-1 fees and finder's fees. These fees are fully disclosed to plan sponsors and participants and are passed on to the plan sponsor or the plan for use in offsetting plan related fees.

Item 6 - Supervision

Kevin Mahoney is one of several supervised persons who give general advice on behalf of intellicents investment solutions and under the direct supervision of Mr. Nicholas Holmes, Chief Compliance Officer. Inquiries about Kevin Mahoney should be forwarded to Mr. Nicholas Holmes as 800-880-4015